



Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet

1

of

1

Complete if Known

Application Number 10/726,110

Filing Date 12/02/03

First Named Inventor Gary Searle

Art Unit

Examiner Name

Attorney Docket Number 03-062-GS

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
		US-4,705,517	11-10-1987	DiPisa, Jr.	
		US-5,258,042	11-02-1993	Mehta	
		US-5,382,261	01-17-1995	Palmaz	
		US-5,456,693	10-10-1995	Conston et al.	
		US-5,925,063	07-20-1999	Khosravi	
		US-6,312,407 B1	11-06-2001	Zadno-Azizi et al.	
		US-6,352,561 B1	03-05-2002	Leopold et al.	
		US-6,589,256 B2	07-08-2003	Forber	
		US-Re. 35,988	12-08-1998	Winston et al.	
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			
		US-			

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				

Examiner
SignatureDate
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: C mmissi n r for Pat nts, P.O. Box 1450, Al xandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



PATENT TRANSMITTAL LETTER

Attorney Docket No:
03-062-GSApplication Serial Number:
10/726,110Filing Date:
12/02/03Inventor:
Gary Searle

Group Art Unit:

Invention: MECHANICAL OCCLUDING DEVICE AND DILATION DEVICE FOR A VESSEL

TO THE COMMISSIONER OF PATENTS AND TRADEMARKS:

Transmitted herewith is an Information Disclosure Statement by Applicant. No Fee should be necessary, but in the event any fee is necessary, the Commissioner is authorized to charge Deposit Account 12-0115.

Patrick D. Archibald

- ☒ Small entity status of this application under 37 CFR 1.9 and 1.27 has been established by a verified statement previously submitted.
- ☐ A verified statement to establish small entity status under 37 CFR 1.9 and 1.27 is enclosed.
- ☐ A check in the amount of \$_____ is attached.
- ☐ Charge \$___ to Deposit Account 12-0115.
- ☒ No additional fee is required.

01/21/04
Date
Patrick D. Archibald
Reg. No. 52,464

I hereby certify that this correspondence and all correspondence identified as accompanying this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to Mail Stop Patent Applications, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22323-1450 on 01/21/04.

Patrick D. Archibald